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# High-order quantum adiabatic approximation and Berry's phase factor

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**Abstract.** In this paper high-order adiabatic approximate solutions of the Schrödinger equation for a quantum system with a slowly changing Hamiltonian are presented. We not only obtain Berry's phase factor and strictly prove the quantum adiabatic theorem in the first-order approximation, but also discuss an observable effect of the second adiabatic approximation.

## 1. Introduction

Recently it has been recognised that in quantum mechanics there exists a new topological phase factor, namely Berry's phase factor [1]. This phase factor is not only used to explain the Aharonov-Bohm effect and Aharonov-Susskind effect [2], but has also been verified in more recent experiments [3-6].

In theoretical aspects, the concept of Berry's phase has appeared in many areas of physics, e.g. anomalies in gauge field theories [7], the quantum Hall effect [8], the Born-Oppenheimer approximation [9], and so on. Berry and other authors have also discussed the classical counterparts of the quantum Berry phase [10].

Berry's phase factor was discovered by Berry in investigating the quantum adiabatic theorem [11]. Let

$$\hat{H} = \hat{H}[R_1(t), R_2(t), \dots, R_N(t)] \equiv \hat{H}[R(t)] \quad (1)$$

be the Hamiltonian of a quantum system, which varies with the parameters  $R_1(t), R_2(t), \dots, R_N(t)$  depending on time  $t$ . When the Hamiltonian changes from a certain initial value  $\hat{H}[R(t_0)]$  at time  $t_0$  to a certain final value  $\hat{H}[R(t_1)]$  at time  $t_1$ , if the system is initially in an eigenstate  $\phi_n[R(t_0)]$  of  $\hat{H}[R(t_0)]$ , then it will, under the adiabatic limit  $T \rightarrow \infty$ , pass into the eigenstate  $\phi_n[R(t_1)]$  of  $\hat{H}[R(t_1)]$  at time  $t_1$ . This result is known as the quantum adiabatic theorem. According to it, when the Hamiltonian is transported round a closed path  $c$  in parameter space  $M: \{R\}$  from  $t_0$  to  $t_1$ , for which  $R(t_0) = R(t_1)$ , the wavefunction at time  $t_1$  is

$$|\psi(t_1)\rangle = \exp\left(\frac{1}{i\hbar} \int_{t_0}^{t_1} E_n[R(t')] dt'\right) \exp[i\nu_n(c)] |\phi_n[R(t_1)]\rangle \quad (2)$$

where

$$\exp[i\nu_n(c)] = \exp\left(-\oint_c \left\langle \phi_n[R] \left| \sum_{i=1}^n \frac{\partial}{\partial R_i} \phi_n[R] \right. \right\rangle dR_i\right) \quad (3)$$

is a geometrical phase factor in addition to the familiar dynamical phase factor, which is called Berry's phase factor. Berry's phase  $\nu_n(c)$  is mathematically interpreted as a holonomy of a Hermitian line bundle over the parameter manifold by Simon [1].

In this paper we will pay attention to the high-order adiabatic approximation and the manifestation of the second term in an observable quantum process.

### 2. Motion equation in the changing representation

The changing representation is a state space spanned by all the eigenstates  $\phi_m[R] (m = 1, 2, \dots, N)$  of the Hamiltonian  $\hat{H}[R]$  at time  $t$  for the eigenvalues  $E_m(R)$ . The evolution operator  $U(t, t_0)$  of this system in this representation is expressed as

$$U(t, t_0) = \sum_{m,k=0}^N \exp\left(\frac{1}{i\hbar} \int_{t_0}^t E_m[R'] dt'\right) C_{mk}(t) |\phi_m[R(t)\rangle \langle \phi_k[R(t_0)]| \tag{4}$$

where

$$C_{mk}(0) = \delta_{mk} \quad R' \equiv R(t').$$

Substituting (4) into the Schrödinger equation

$$i\hbar \frac{\partial}{\partial t} U(t, t_0) = \hat{H}[R(t)] U(t, t_0) \tag{5}$$

we obtain the motion equation in the changing representation:

$$\begin{aligned} \dot{C}_{mk}(t) + \langle \phi_m[R] | \dot{\phi}_m[R] \rangle C_{mk}(t) \\ = - \sum_{n \neq k} C_{nk}(t) \exp\left(\frac{i}{\hbar} \int_{t_0}^t (E_m[R'] - E_n[R']) dt'\right) \langle \phi_m[R] | \dot{\phi}_n[R] \rangle. \end{aligned} \tag{6}$$

In order to study the influence of the changing rate of  $\hat{H}[R(t)]$  on the behaviour of the solution of (6), we define

$$\begin{aligned} T = t_1 - t_0 \quad S = t/T \\ b_{mk}(S) = C_{mk}(TS) \quad R = R(TS) \end{aligned} \tag{7}$$

and rewrite (6) as

$$\begin{aligned} \frac{d}{dS} b_{mk}(S) + \left\langle \phi_m[R] \left| \frac{\partial}{\partial S} \phi_m[R] \right. \right\rangle b_{mk}(S) \\ = - \sum_{n \neq m} b_{nk}(S) \exp\left(\frac{iT}{\hbar} \int_{S_0}^S (E_m[R'] - E_n[R']) dS'\right) \left\langle \phi_m[R] \left| \frac{\partial}{\partial S} \phi_n[R] \right. \right\rangle. \end{aligned} \tag{8}$$

By considering  $b_{mk}(t_0) = \delta_{mk}$ , the Volterra integral equation of (8) is obtained as

$$\begin{aligned} b_{mk}(t) + \int_{S_0}^S \left\langle \phi_m[R] \left| \frac{\partial}{\partial S} \phi_m[R] \right. \right\rangle b_{mk}(S) dS \\ = \delta_{mk} - \sum_{n \neq m} \int_{S_0}^S b_{nk}(S') \left\langle \phi_m[R'] \left| \frac{\partial}{\partial S} \phi_n[R'] \right. \right\rangle \\ \times \exp\left(\frac{iT}{\hbar} \int_0^{S'} (E_m[R''] - E_n[R'']) dS''\right) dS'. \end{aligned} \tag{9}$$

**3. High-order adiabatic approximate method**

For simplicity we let  $S_0 = 0 = t_0$  in the following sections. Integrating

$$I_{mn} = \int_0^S b_{nk}(S') \left\langle \phi_m[R'] \left| \frac{\partial}{\partial S} \phi_n[R'] \right. \right\rangle \exp\left(\frac{iT}{\hbar} \int_0^{S'} (E_m[R''] - E_n[R'']) dS''\right) dS' \quad (10)$$

by parts, we have

$$I_{mn} = \frac{-i\hbar}{T} \exp(i\alpha_{mn}(S)T) \frac{F(S)}{E_m - E_n} + \left(\frac{-i\hbar}{T}\right)^2 \exp(i\alpha_{mn}(S)T) \frac{1}{E_m - E_n} \frac{d}{ds} \frac{1}{E_m - E_n} F(S) + \left(\frac{-i\hbar}{T}\right)^3 \exp(i\alpha_{mn}(S)T) \frac{I}{E_m - E_n} \frac{d}{dS} \frac{1}{E_m - E_n} \frac{d}{ds} \frac{1}{E_m - E_n} F(S) + \dots \quad (11)$$

where

$$\alpha_{mn}(S) = \hbar^{-1} \int_0^S (E_m[R'] - E_n[R']) dS'$$

$$F(S) = b_{mk}(S) \left\langle \phi_m[R] \left| \frac{\partial}{\partial S} \phi_n[R] \right. \right\rangle \quad (12)$$

$$E_m = E_m[R].$$

By defining an operator

$$\hat{O}_{mn} = \frac{\partial}{\partial s} \left( \frac{1}{E_m - E_n} \right) + \frac{1}{E_m - E_n} \frac{\partial}{\partial s} \quad (13)$$

(11) can be written as

$$I_{mn} = \sum_{l=0}^{\infty} \left(\frac{-i\hbar}{T}\right)^{l+1} \exp(i\alpha_{mn}(S)T) (E_m - E_n)^{-1} (\hat{O}_{mn})^l \langle \phi_m[R] | \phi_n[R] \rangle. \quad (14)$$

Then, differentiating (9), we have

$$\frac{d}{dS} b_{mk}(S) + \left\langle \phi_m[R] \left| \frac{\partial}{\partial S} \phi_m[R] \right. \right\rangle b_{mk}(S) = - \sum_{n \neq m} \sum_{l=0}^{\infty} \left(\frac{-i\hbar}{T}\right)^{l+1} \frac{\partial}{\partial s} \times \left( \frac{\exp(iT\alpha_{mn}(S))}{E_m - E_n} (\hat{O}_{mn})^l \left\langle \phi_m[R] \left| \frac{\partial}{\partial S} \phi_n[R] \right. \right\rangle b_{nk}(S) \right). \quad (15)$$

If  $1/T$  is sufficiently small, it is reasonable to assume that  $b_{mk}(S)$  can be expanded into a rapidly converging power series in  $1/T$ , i.e.

$$b_{mk}(S) = \sum_n \left(\frac{-i\hbar}{T}\right)^n b_{mk}^{[n]}(S). \quad (16)$$

We substitute the expression (16) into both sides of (15) and obtain an equality between two power series in  $1/T$ . In order that this equality be satisfied, the coefficients of each power of  $1/T$  must be separately equal, giving

$$\frac{d}{ds} b_{mk}^{[0]}(S) + \left\langle \phi_m[R] \left| \frac{\partial}{\partial S} \phi_m[R] \right. \right\rangle b_{mk}^{[0]}(S) = 0$$

$$\begin{aligned}
 \frac{d}{dS} b_{mk}^{[l]} + \left\langle \phi_m[R] \left| \frac{\partial}{\partial S} \phi_m[R] \right. \right\rangle b_{mk}^{[l]}(S) \\
 = f_{(S)}^{[l]} = - \sum_{h=0}^{l-1} \sum_{n \neq m} \frac{\partial}{\partial S} \left( \frac{\exp(iT\alpha_{mn}(S))}{E_m - E_n} (\hat{O}_{mn})^h b_{mk}^{(l-h-1)}(S) \right) \\
 \times \left\langle \phi_m[R] \left| \frac{\partial}{\partial S} \phi_n[R] \right. \right\rangle \hbar^{h+1}.
 \end{aligned} \tag{17}$$

Considering the initial conditions

$$b_{mk}^{[0]} = \delta_{mk} \quad b_{mk}^{[i]} = 0 \quad i = 1, 2, 3, \dots$$

we successively solve equation (17), obtaining

$$\begin{aligned}
 b_{mk}^{[0]}(S) &= \delta_{mk} \exp\left(-\int_0^S \left\langle \phi_m[R'] \left| \frac{\partial}{\partial S} \phi_m[R'] \right. \right\rangle dS'\right) \\
 b_{mk}^{[l]}(S) &= \exp\left(-\int_0^S \left\langle \phi_m[R'] \left| \frac{\partial}{\partial S} \phi_m[R'] \right. \right\rangle dS'\right) \\
 &\quad \times \int_0^S f_{(S')}^{[l]} \exp\left(\int_0^{S'} \left\langle \phi_m[R''] \left| \frac{\partial}{\partial S'} \phi_m[R''] \right. \right\rangle dS''\right) dS'.
 \end{aligned} \tag{18}$$

#### 4. Manifestation of first- and second-order approximate solutions

According to (4) and (18), under the adiabatic limit  $T \rightarrow \infty$ , the first-order evolution operator is

$$\begin{aligned}
 U_{(t,t_0)}^{[0]} &= \sum_{m=0}^N \exp\left(-\int_0^t \left\langle \phi_m[R'] \left| \frac{\partial}{\partial t} \phi_m[R'] \right. \right\rangle dt'\right) \\
 &\quad \times \exp\left(\frac{1}{i\hbar} \int_0^t E_m[R'] dt'\right) |\phi_m[R(t)]\rangle \langle \phi_m[R(t_0)]|
 \end{aligned} \tag{19}$$

which just gives the known quantum adiabatic theorem and the results obtained by Berry.

When the adiabatic condition does not hold, we consider the second-order approximation in an experiment of a spinning particle in a magnetic field, which has been considered under adiabatic conditions by Berry. A polarised beam of spin- $\frac{1}{2}$  particles along a magnetic field splits into two beams, one of which passes through a constant magnetic field  $B_0 e_z$ , while the other passes through a varying magnetic field

$$\mathbf{B}(t) = B_0(\sin \theta \cos \beta(t) \mathbf{e}_x + \sin \theta \sin \beta(t) \mathbf{e}_y + \cos \theta \mathbf{e}_z) \tag{20}$$

where  $\hat{\beta}(t)$  need not be uniform along a closed path in the parameter space  $M: \{B_x, B_y, B_z\}$  and  $\beta(t)$  satisfies  $\beta(0) = 0, \beta(T) = 2\pi$ . The Hamiltonian is

$$\hat{H}[\mathbf{B}(t)] = g\mathbf{S} \cdot \mathbf{B} = \hbar\omega_0 \begin{bmatrix} \cos \theta & \sin \theta \exp(-i\beta(t)) \\ \sin \theta \exp(i\beta(t)) & -\cos \theta \end{bmatrix} \tag{21}$$

where  $\omega_0 = \frac{1}{2}gB_0$  is the dynamical frequency.

From (4) and (7), we see that the wavefunction at time  $t_1$  is

$$|\psi(T)\rangle = [\exp(-\sin^2 \frac{1}{2} \theta 2\pi i) + 1] \exp(-i\omega t) |\phi_+[B(0)]\rangle + \frac{f(T)}{T} \exp(-i\pi \cos^2 \frac{1}{2} \theta) |\phi_-[B(0)]\rangle \quad (22)$$

when the particle is initially in an eigenstate  $|\phi_+[B(0)]\rangle$  of  $\hat{H}[B(0)]$  with eigenvalue  $\hbar\omega_0$ , where

$$f(t) = \frac{i\hbar \sin\theta}{4\omega_0} \int_0^t \frac{\partial}{\partial t'} [B(t') \exp(2i\omega_0 t' - i\frac{1}{2} \sin^2 \frac{1}{2} \theta \beta(t'))] \exp(i\frac{1}{2} \cos^2 \frac{1}{2} \theta \beta(t')) dt'. \quad (23)$$

If we adjust the path length of the beams such that the dynamical phases for both beams are the same when beams are combined in a detector at time  $T$ , the predicted intensity contrast is

$$I_{(\theta)} = I_0 \cos^2[\frac{1}{2}\pi(1 - \cos\theta)] + f^2(T)/T^2 \quad (24)$$

which leads to an extra term  $f^2/T^2$  in Berry's result

$$I'_{(\theta)} = I_0 \cos^2[\frac{1}{2}\pi(1 - \cos\theta)]. \quad (25)$$

It would be interesting to see the above prediction experimentally verified.

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*Note added.* After this paper was written, from a paper by Aharonov and Anandan [12] and the referee's report on my paper, I discovered that the experiment I propose, bridging the gap between small and large  $T$ , has now been carried out by D Suter, G Chingas, R A Hariss and A Pine.

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